

Revised Application Documentation: Revision made by NSDA_25 May 2015

QUALIFICATION FILE – CONTACT DETAILS OF SUBMITTING BODY

Name and address of submitting body:

Infrastructure Equipment Sector Council

23-29, FF5, First Floor, "White House Building"

St. Marks Road, (Opp SBI)

Bengaluru - 560001

Name and contact details of individual dealing with the submission

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Position in the organisation: Director NOS & Training

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List of documents submitted in support of the Qualifications File

1. Annexure 1: Qualification Pack
2. Annexure 2: RFP for development of Occupational Standards
3. Annexure 3: Selection process of the Consultants to develop Occupational Standards
 - 3a. Minutes of the meeting of GC meetings
 - 3b. Composition of the Technical Committee
4. Annexure 4: Email approval of Occupational Standards by Technical Committee and Governing Council
5. Annexure 5: Occupational Analysis, List of companies and Industry associations participated in the development of these qualification packs (part of Occupational Analysis)
6. Annexure 6: List of QP/NOS validating companies
7. Annexure 7: NSDC QRC observation and feedback sheet
8. Annexure 8: Standard protocol for accreditation & assessments

QUALIFICATION FILE SUMMARY

Qualification Title	Qualification Pack- IES/Q0104- Junior Excavator Operator		
Body/bodies which will assess candidates	Infrastructure Equipment Sector Council		
Body/bodies which will award the certificate for the qualification.	Infrastructure Equipment Sector Council		
Body which will accredit providers to offer the qualification.	Infrastructure Equipment Sector Council		
Occupation(s) to which the qualification gives access	Equipment operations		
Proposed level of the qualification in the NSQF.	3		
Anticipated volume of training/learning required to complete the qualification.	120 Hours		
Entry requirements / recommendations.	Preferably Class VIII		
Progression from the qualification.	Excavator Operator		
Planned arrangements for RPL.	Under Development		
International comparability where known.	<p>New Zealand</p> <p>NZQF NQ Ref 1083- National Certificate in Infrastructure Works (Forestry Earthworks) with strands in Bulldozer, Hydraulic Excavator, and Motor Grader</p> <p>Australia</p> <p>RIIMPO320D Conduct civil construction excavator operations</p> <p>FPISS00022 Skill set for an excavator operator</p>		
Formal structure of the qualification			
Title of unit or other component (include any identification code used)	Mandatory/Optional	Estimated size (learning hours)	Level
IES/N0110 Assist in carrying out pre-checks of an excavator	Mandatory	36	3
IES/N0111 Assist in operating an excavator	Mandatory	30	3
IES/N0112 Assist in regular maintenance of an excavator	Mandatory	42	3
IES/N7601 Comply with worksite health and safety guidelines	Mandatory	12	3

Please attach any document giving further detail about the structure of the qualification – eg a Curriculum or Qualification Pack.

Give details of the document here: **Qualification Pack is attached as Annexure**

SECTION 1

ASSESSMENT

Name of assessment body:

If there will be more than one assessment body for this qualification, give details.

CII

Will the assessment body be responsible for RPL assessment?

Give details of how RPL assessment for the qualification will be carried out and quality assured.

RPL will be based on the same approved Qualification Pack and Assessment Criteria mentioned in the Qualification Pack.

Describe the overall assessment strategy and specific arrangements which have been put in place to ensure that assessment is always valid, consistent and fair and show that these are in line with the requirements of the NSQF:

The emphasis is on 'learning-by-doing' and practical demonstration of skills and knowledge based on the performance criteria.

The assessment papers are developed by Subject Matter Experts (SME) available with the Assessment Agency as per the performance and assessment criteria mentioned in the Qualification Pack. The assessments papers are also checked for the various outcome based parameters such as quality, time taken, precision, tools & equipment requirement etc. The assessment sets are then reviewed by IESC official for consistency.

The assessments are designed so as to assess maximum parts during the practical hands on work. The technical limitations at the training centres are taken care in theory and viva. Criteria such as use of lift to pick heavy objects or selection of fire extinguisher during a fire are also assessed under theory/viva.

The assessment agencies are instructed to hire assessors with integrity, reliability and fairness. Each assessor shall sign a document with its assessment agency by which they commit themselves to comply with the rules of confidentiality and conflict of interest, independence from commercial and other interests that would compromise impartiality of the assessments. The assessment agencies are instructed to ideally have assessor with minimum 15 years industry experience as an ITI graduate / minimum 10 years' industry experience as diploma engineer and minimum 5 years' industry experience as graduate engineer.

The assessors selected by Assessment Agencies are scrutinized and made to undergo training and introduction to IESC Assessment Framework, competency based assessments, assessors guide etc.

The assessors are provided with assessors guide developed by the Subject Matter Expert of the assessment agency as per the assessment framework. The assessment guides are developed to ensure the maximum possible consistency in the assessment by different assessors and elaborate on the following

- Qualification Pack Structure
- Guidance for the assessor to conduct theory, practical and viva assessments
- Guidance for trainees to be given by assessor before the start of the assessments.
- Guidance on assessments process, practical brief with steps of operations practical observation checklist and mark sheet
- Viva guidance for uniformity and consistency across the batch.

The assessment by assessment agency will be completely based on the assessment criteria as mentioned in the Qualification Pack. Each NOS in the Qualification Pack (QP) will be assigned a relative weightage for assessment based on the criticality of the NOS. Therein each Performance Criteria in the NOS will be assigned marks for or practical based on relative importance, criticality of function and training infrastructure.

The following tools are proposed to be used for final assessment:

Practical Assessment: This will comprise of a test hands on job to be prepared as per figure/engineering drawing by following appropriate working steps, using necessary tools, equipment and instruments.

Candidate's aptitude, safety consciousness, quality consciousness etc. will be ascertained by observation and

will be marked in observation checklist.

Viva/Structured Interview: This tool will be used to assess the conceptual understanding and the behavioural aspects as regards the job role and the specific task at hand. It will also include questions on safety, quality, environment, tools and equipment's etc.

Written Test: Under this test few key items which cannot be assessed practically will be assessed. The written assessment will comprise of
True / False Statements
Multiple Choice Questions
Matching Type Questions.

Optical Mark Recognition (OMR)/ Online System for this will be preferred.

Please attach any documents giving further information about assessment and/or RPL.
Give details of the document(s) here: Annexure 8

ASSESSMENT EVIDENCE

Complete the following grid for each grouping of NOS, assessment unit or other component as listed in the entry on the structure of the qualification on page 1.

CRITERIA FOR ASSESSMENT OF TRAINEES

Job Role Junior Excavator Operator

Qualification Pack IES/Q0104

Sector Skill Council Infrastructure & Equipment

Guidelines for Assessment

1. Criteria for assessment for each Qualification Pack will be created by the Sector Skill Council. Each Performance Criteria (PC) will be assigned marks proportional to its importance in NOS. SSC will also lay down proportion of marks for Theory and Skills Practical for each PC
2. The assessment for the theory part will be based on knowledge bank of questions created by the SSC
3. Individual assessment agencies will create unique question papers for theory part for each candidate at each examination/training center (as per assessment criteria below)
4. Individual assessment agencies will create unique evaluations for skill practical for every student at each examination/training center based on this criteria
5. To pass the Qualification Pack, every trainee should score a minimum of 50% aggregate
6. In case of successfully passing only certain number of NOS's, the trainee is eligible to take

subsequent assessment on the balance NOS's to pass the Qualification Pack

Assessable Outcomes	Assessment criteria for the outcome	Marks Allocation			
		Total Mark	Out Of	Theory	Skills Practical
1. IES/N0110 Assist in carrying out pre – operation checks of an excavator operations	PC1. Adhere to time limits given by supervisor	30	1	0	1
	PC2. Assist in visual inspection of the body components for cracks and bearing wear		2	1	1
	PC3. Assist in checking the sprocket for worn or cracked teeth		1	0	1
	PC4. Assist in inspecting the boom and the stick for dents and cracked welds		2	1	1
	PC5. Assist in checking the forks for bends		2	1	1
	PC6. Inspect all ground engaging tools to ensure stability		1	0	1
	PC7. Assist in checking that oil levels of engine, transmission, radiant coolant and brake are as per manufacturer’s indicators		4	1	3
	PC8. Assist in checking the differential and hydraulic oil levels		1	0	1

	PC9. Assist in checking the hydraulic hose and ram for leakages	2	1	1
	PC10. Assist in conducting checks to ensure proper condition of parking brake, main horn, reverse horn and head light	1	0	1
	PC11. Assist in checking fan belt tension, electrolyte level and terminal tightness	1	0	1
	PC12. Assist in conducting visual inspection to check the various controls, gauges, warning lamp and other safety devices	1	0	1
	PC13. Check and set out all necessary work signs as required	1	0	1
	PC14. Check load chart is displayed in cabin	1	0	1
	PC15. Assist in checking the circle turn gear and clean if required	1	0	1
	PC16. Clean air filter dust bowls and check the gasket and inner filter	1	0	1
	PC17. Drain water and sediment from the fuel tank	2	1	1
	PC18. Top up coolant and oil in engine, transmission, etc. if necessary as per manufacturer's indicators	1	0	1
	PC19. Grease all the greasing pins and pivots	1	0	1

	points				
	PC20. examine the compressor unit and all fittings and air lines		1	0	1
	PC21. Clean footplates and steps to keep them free from mud, dirt and oil		2	1	1
		Total	30	7	23
2. IES/N0111 Assist in operating an excavator	PC1. Assist in inspecting the worksite to identify and loose soil, hidden deep trenches or marshy patches where excavator could get stuck	20	2	1	1
	PC2. Assist in identifying and selecting the required attachment to be used for performing the task at a work site		1	0	1
	PC3. Assist in ensuring excavator load and operating speed is within limits specified by the manufacturer		4	1	3
	PC4. Assist in taking precaution for hazards such as trenches, potholes and cables		2	1	1
	PC5. Adhere to time limits given by the operator and supervisors		1	0	1
	PC6. Assist in determining a safe distance from a tip edge and usage of an approved stop block before tipping over an edge		2	1	1

	PC7. Assist in keeping a safe distance from other plant or vehicles		1	0	1
	PC8. Assist in ensuring that excavator is always parked on firm, level ground; with handbrake applied and drive and controls disengaged		4	1	3
	PC9. Assist in determining a position for discharging the load safely and in the manner designated by the supervisor		1	0	1
	PC10. Assist in ensuring that no other operators travel on or stand near the Excavator		1	0	1
	PC11. Inform supervisor of any problems while assisting in operating the Excavator		1	0	1
		Total	20	5	15
3. IES/N0112 Assist in regular maintenance of an excavator	PC1. Assist in assessing the right service schedule by tracking machine operating hours	30	2	1	1
	PC2. Clean air filter dust bowls at regular intervals		2	1	1
	PC3. Clean footplates, pedals and steps free from mud, dirt, ice and snow at regular intervals		2	1	1
	PC4. Drain water and sediment/ fuel separators everyday		1	0	1
	PC5. Replenish coolants, lubricants and fluids		3	0	3

	everyday			
	PC6. Grease all greasing pins and pivot points everyday	2	1	1
	PC7. Assist in checking battery levels and condition of the terminals and carry out minor adjustments if required	1	0	1
	PC8. Check and maintain the tyre rims, air pressure, wheel nuts and treads as per manufacturer's indicators	4	1	3
	PC9. Assist in ensuring the machine is on firm and level ground before any maintenance is carried out; assist in tracking machine operating hours to assess the right service schedule	2	1	1
	PC10. Assist in completing timely and legibly daily/ weekly maintenance sheets as provided by the company	1	0	1
	PC11. Assist in ensuring that suitable props/ support devices are used and the bucket is not raised while performing maintenance	2	1	1
	PC12. Assist in ensuring that no maintenance task on the engine is performed when running or still hot	1	0	1

	PC13. Assess when the problem is beyond his competence and report the problem to suitably qualified and competent personnel		2	1	1
	PC14. Handle and dispose waste based on environmental guidelines at the work place		1	0	1
	PC15. Follow reporting procedures as laid down by the employer		1	0	1
	PC16. Report defects precisely to the operator if beyond scope of his role		1	0	1
	PC17. Report defects precisely to the operator and supervisor if beyond scope of his role		2	1	1
		Total	30	9	21
4. IES/N7601 Comply with worksite health and safety guidelines	PC1. Comply with safety, health, security and environment related regulations/ guidelines at the work site		2	1	1
	PC2. Use Personal Protective Equipment (PPE) and other safety gear such as seat belt, body protection, respiratory protection, eye protection, ear protection and hand protection	20	3	0	3
	PC3. Follow safety measures during operations to ensure that the health and safety of self or others (including members of the public) is		2	1	1

	not at risk			
	PC4. Carry out operations as per the manufacturer's and worksite related health and safety guidelines	3	0	3
	PC5. Handle the transport, storage and disposal of hazardous materials and waste in compliance with worksite health, safety and environmental guidelines	4	1	3
	PC6. Follow safety regulations and procedures with regard to worksite hazards and risks	2	1	1
	PC7. Operate various grades of fire extinguishers, as applicable	1	0	1
	PC8. Support in administering basic first aid and report to concerned team members, as required, in case of an accident	1	0	1
	PC9. Respond promptly and appropriately to an accident/ incident or emergency situation, within limits of your role and responsibility	1	0	1
	PC10. Record and report details related to operations, incidents or	1	0	1

	accidents, as applicable				
		Total	20	4	16

SECTION 2

EVIDENCE OF NEED

What evidence is there that the qualification is needed? (annexure 4 &5)

- Based on industry feedback and extrapolating from the data received from various visits and questionnaires we have arrived at roles which comprise of approximately 80% of the workforce in the infrastructure equipment sector across the respective sub-sectors undertaken in this study.
- This have been prioritized keeping the following criteria in consideration:
 - High volumes of equipment sales
 - Inclusive of the critical roles captured in the feedback from the companies
 - Inclusive of the feedback received from the council members
- Governing council of IESC gave final approval and endorsement for the same.

What is the estimated uptake of this qualification and what is the basis of this estimate?

- Skills Gap analysis Reports for industry demand and secondary research data, though these do not lend to accurate demand projection.
- Feedback from industry for demand though sample size may not lend to accurate figures
- Training duration, and current and potential training capacity envisaged
- As per industry practice 2 operators are required per equipment

What steps were taken to ensure that the qualification(s) does/do not duplicate already existing or planned qualifications in the NSQF?

- NSDC list of Approved and Under-Development QPs was checked prior to commissioning the work
- Consultations with Construction Sector Skill Councils
- NSDC QRC team also confirmed the same

What arrangements are in place to monitor and review the qualification(s)? What data will be used and at what point will the qualification(s) be revised or updated?

- Employer feedback will be sought post-placement
- A formal review is scheduled in two year time

Please attach any documents giving further information about any of the topics above.

Give details of the document(s) here:

Annexure 4: Email approval of Occupational Standards by Technical Committee and Governing Council

Annexure 5: Section 3 and 4.1 of Occupational Analysis

Annexure 7: NSDC QRC observation and feedback sheet

SECTION 3

SUMMARY EVIDENCE OF LEVEL

Level of qualification:

Three

Summary of Direct Evidence (from learning outcomes):

Justify the NSQF level allocated to the QP by building upon the five descriptors of NSQF. Explain the reasons for allocating the level to the QP.

Generic NOS is/are linked to the overall authority attached to the job role

Summary of other evidence (if used):

Junior Excavator Operator - IES/Q0104					
Process Required	Professional Knowledge	Professional Skill	Core Skill	Responsibility	Level
<p>Junior Excavator Operator is expected to Assist in conducting pre-operation checks on excavator, assist in operating the backhoe as per the job and maintaining the excavator.</p> <p>The activities identified requires <i>routine and limited range of activities</i> for him as these activities are independent of job and worksite he is deployed on. Considering</p>	<p>Junior Operator is expected to have <i>basic knowledge of the functioning and operation</i> of excavator. <i>Basic Feature/specifications</i> of the various attachment used and <i>basic knowledge of excavator components, pre-operation checklist and routine maintenance</i></p> <p>Considering the <i>basic professional knowledge</i>, which a Backhoe Operator has for assisting backhoe operation and maintenance this QP is pegged at Level 3.</p>	<p>Junior Excavator Operator <i>identifies the appropriate attachment</i> for various job like Earth Digging, Load lifting, rock braking dumping, hammers etc. He <i>checks the excavator for operation readiness</i> using pre-operation checklist and <i>conducts the routine maintenance</i> covering boom and stick, hydraulic system, lubrication, oil levels, coolant, air filters, battery, tyre, body structure and keep the</p>	<p>Junior Operator is expected to follow and respond to the excavator operators' instructions for reading various instrument panel, fluid levels and other indicators for pre-operation checks under and routine maintenance. He has to assist in selecting the appropriate attachment based on the work site measurement and job requirement. All of this requires <i>application of basic arithmetic principles</i>.</p> <p>Junior Operator has to continuously give</p>	<p>The jobholder is responsible to:</p> <ul style="list-style-type: none"> Assist in Conduct pre-operation checks of excavator Assist in Operate excavator Assist in Conduct routine maintenance of excavator <p>He has the <i>limited responsibility for own work and majorly function in close supervision</i> of Operator which justifies the pegging of the QP at level 3 and not involved in self-learning (which</p>	3

the outcomes the job roles is pegged at level 03		records as per the operations manual & standard operating procedures. Thus he is practically engaged in the backhoe operation and maintenance.	and receive instruction and guidance from operator on-site hence they are expected to be clearly communicate Jobholder is expected to conduct themselves in ways, which show a basic understanding of the social and professional environment of working at worksites	is a requirement for Level 4). In his routine activity he is responsible for his own work (which is a requirement of level 2).	
Level 3	Level 3	Level 3	Level 3	Level 3	

SECTION 4

EVIDENCE OF RECOGNITION OR PROGRESSION

What steps have been taken in the design of this or other qualifications to ensure that there is a clear path to other qualifications in this sector?

While designing the national occupational standards, occupational mapping was done on a large sample size and validated across the country. The career progression for roles in each occupation was also analysed and decided, based on industry validation across the country. The current challenges faced by the industry, at large, was also kept in mind.

Please attach any documents giving further information about any of the topics above.

Give details of the document(s) here:

- Annexure 5: Section 5 of Occupational Analysis
- List of companies and Industry associations participated in development of these qualifications (part of OA)